

2131

#3

PATENT

Attorney Docket No. 99-856

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, 10/15/01, with sufficient postage as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Mary E. Anza

(typed or printed name of person mailing paper)

Mary E. Anza  
(Signature of person mailing paper)

RECEIVED  
OCT 22 2001  
Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

Christopher P. Carroll et al. )

Serial No.: 09/851,870 )

Group Art Unit: 2131

Filed: May 9, 2001 )

Examiner: Unassigned

For: STREAM-CIPHER METHOD AND )  
APPARATUS )Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(e)(1)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(e)(1), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. Copies of the available references are attached.

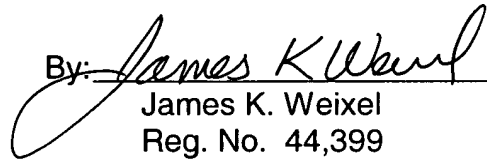
The applicant hereby affirms that the first citation of the referenced patent by a foreign patent office in a counterpart foreign application was not made in any

communication from the foreign office more than three months prior to filing this Information Disclosure Statement.

This submission does not represent that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

By:   
James K. Weixel  
Reg. No. 44,399

Date: 10/12/2001

Verizon Services Group  
HQE03H01  
600 Hidden Ridge  
Irving, TX 75038  
Tel: 781/466-2220

